

# **Goldwind Science & Technology Co., Ltd.**

## **Sustainable Procurement Policy**

### **General Provisions**

1. Goldwind Science & Technology Co., Ltd. (hereinafter referred to as “Goldwind” or “we” ), as a world-leading provider of wind power equipment and solutions, actively responds to the United Nations 2030 Agenda for Sustainable Development and the ten principles of the United Nations Global Compact (UNGC), and aligns with the United Nations Guiding Principles on Business and Human Rights (UNGPs), the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, the core conventions of the International Labour Organization (ILO), the SA8000 social accountability standard, the ISO 20400 sustainable procurement guidance, and other international conventions and standards, so as to ensure that Goldwind and its global supply chain conform to internationally recognized standards in terms of workers’ rights, health and safety, environment, business ethics, and management systems.
2. This Policy sets out Goldwind’ s overall commitment to sustainable procurement and, together with the Goldwind Supplier Code of Conduct and the Goldwind Commitment to Responsible Supply Chain Management for the Wind Power Business, constitutes the overarching document for Goldwind’ s supply chain social responsibility and sustainable development.

### **Scope of Application**

3. This Policy applies to the procurement activities of Goldwind and all of its subsidiaries, branches, and joint ventures worldwide. All Goldwind employees, including management and procurement personnel, shall comply with the provisions of this Policy in any form of business dealings with suppliers. In addition, we encourage all suppliers, including their subcontractors and partners, to also comply with the principles and requirements of this Policy and to jointly build a sustainable supply chain.

## Management Responsibilities

4. General Manager of the Group Supply Chain Center
  - (1) Approving the Group' s sustainable procurement strategy, objectives, and plans, as well as this Policy;
  - (2) Overseeing Goldwind' s sustainable procurement work, and organizing the response to major supply chain sustainability risks and the handling of grievances;
  - (3) Approving the budgets and resource investments related to sustainable procurement.
5. Group Supply Chain Management Department
  - (1) Preparing and revising this Policy, ensuring that all provisions remain consistent with current laws and regulations, international standards, and Goldwind strategy;
  - (2) Coordinating the Group' s sustainable procurement management and conducting internal system audits and management reviews;
  - (3) Disclosing the Group' s sustainable procurement information and promoting greater supply chain transparency.
6. Supplier Management Departments of Each Business Unit
  - (1) Further refining and improving their respective sustainable procurement management rules under the guiding framework of this Policy;
  - (2) Determining the scope of suppliers covered by sustainable procurement in their respective units, and organizing supplier sustainability management capability building, risk assessment, and emergency response;
  - (3) Regularly organizing training and guidance activities to enhance procurement staff ' s understanding and execution of sustainable procurement management.
7. Procurement Staff of Each Business Unit
  - (1) Carrying out procurement operations in accordance with this Policy and the Group' s procurement management rules, giving priority to environmentally friendly, energy-saving, low-carbon, and compliant products and services;
  - (2) Attending Goldwind sustainable procurement training to ensure that the requirements of this Policy are understood and implemented;

(3) Collecting suppliers' information and feedback, jointly addressing sustainable procurement challenges, and promoting win-win cooperation.

## Policy Content

### 8. Respect for Human Rights and Labor Rights

(1) Goldwind respects internationally recognized human rights in its procurement activities and supply chain, follows the United Nations Guiding Principles on Business and Human Rights (UNGPs), and conducts human rights due diligence to identify, prevent, mitigate, and remedy potential and actual adverse human rights impacts that its procurement activities may cause or contribute to; In supplier cooperation, priority is given to suppliers that have obtained social responsibility management system certifications (including GB/T 39604, SA8000, etc.).

(2) We require suppliers to acknowledge and sign the Goldwind Supplier Code of Conduct, to respect the fundamental human rights of all workers (including outsourced personnel), and to prohibit the use of child labor, forced labor, modern slavery, and human trafficking in any form; workers' personal freedom shall not be restricted by means of threats, coercion, inducement, or deception;

(3) We require suppliers to establish open grievance mechanisms so that affected workers, communities, human rights defenders and other stakeholders can safely voice their concerns without fear of retaliation; where human rights violations are identified, suppliers shall immediately stop the relevant conduct, take remedial measures, protect the affected persons, eliminate the adverse impacts, and prevent their recurrence.

### 9. Sustainable Raw Materials and Products

(1) Goldwind is committed to working with suppliers and industry organizations to jointly advance sustainable raw material cooperation and jointly explore sustainable raw material procurement solutions, and proactively implements biodiversity protection, zero deforestation, and land protection requirements in procurement, so as to minimize the adverse environmental, social, and economic impacts of raw materials. We do not procure raw materials obtained through illegal logging, land grabbing, or

forced eviction, avoid using raw materials sourced from sites of global or national importance for biodiversity, and give priority to materials and products certified under international sustainability certification schemes.

(2) Goldwind is committed to working with suppliers to jointly increase the proportion of third-party verified raw materials and the use of recycled raw materials. We are establishing supply chain traceability management standards to ensure that products are traceable throughout the entire chain from raw materials to final products, and we require suppliers to cooperate by providing the necessary traceability evidence.

(3) We promote recyclability management across the supply chain throughout the product life cycle, applying the principle of prioritizing recyclable and circular materials at every stage including design, procurement, production, packaging, and logistics, and strictly controlling suppliers' excessive single-use packaging.

#### 10. Green Operations

(1) Goldwind gives priority to environmentally friendly, energy-saving, and low-carbon parts and materials throughout the procurement process, minimizes the negative environmental impact of the procurement stage, encourages suppliers to adopt green production practices to reduce energy consumption and waste emissions, and works with them to build an environmentally friendly full value chain for wind turbines;

(2) We require suppliers to conduct environmental impact assessments in accordance with the laws and regulations of the places where they operate and international good practices before implementing new construction, expansion, or major process change projects, to produce complete reports, and to make them publicly available through open channels; the conclusions of major risk assessments and the corresponding mitigation measures shall be publicly accessible to stakeholders;

(3) We require suppliers to actively respond to China's "dual carbon" goals by progressively advancing the use of 100% green electricity in the production of Goldwind products, and we encourage suppliers to carry out life cycle assessment (LCA) and product carbon footprint accounting to identify decarbonization opportunities across the product life cycle.

11. Responsible Minerals Management

(1) Goldwind does not procure minerals directly, but attaches great importance to the responsible sourcing of tantalum, tin, tungsten, and gold (3TG), and other minerals contained in its products. We require suppliers to establish and maintain responsible supply chain policies to ensure that the minerals contained in their products do not directly or indirectly finance or benefit armed groups in conflict-affected and high-risk areas (CAHRAs);

(2) We require suppliers to conduct due diligence on the sources and chain of custody of 3TG and other minerals, with due diligence procedures conforming to the requirements of the OECD five-step framework, and to provide grievance mechanisms for stakeholders;

(3) For suppliers that source minerals from conflict-affected and high-risk areas, we require them to conduct enhanced due diligence and to provide the relevant due diligence evidence to Goldwind, so as to jointly reduce mineral-related risks in the supply chain.

12. Integrity and Compliant Operations

(1) Goldwind upholds integrity and compliant operations, promotes the concept of “transparent procurement” , fully embeds anti-bribery and anti-corruption requirements into supply chain management, and strictly prohibits commercial bribery in any form, improper transfer of benefits, false advertising, and unfair competition;

(2) We require suppliers to sign the Transparent Cooperation Agreement and to establish internal integrity systems, adopting a zero-tolerance attitude toward any violation of integrity and compliance requirements;

(3) We have established transparent and trusted reporting channels, encourage employees, suppliers, and other stakeholders to supervise and report violations, strictly protect the privacy of whistleblowers, and prohibit any form of retaliation.

13. Consumer Rights and Product Responsibility

(1) Goldwind requires suppliers to provide parts and raw materials that meet the quality and safety requirements of applicable laws and regulations, mandatory standards, industry standards, and contractual agreements; the provision of counterfeit or substandard products is prohibited, so as to jointly

safeguard the safe and reliable operation of products throughout their life cycle.

(2) We require suppliers to keep strictly confidential the information of Goldwind and its business partners obtained in the course of cooperation, to process personal data in a lawful and compliant manner, and not to use such information for purposes beyond those agreed in the contract.

14. Community Engagement and Development

(1) Goldwind proactively maintains harmonious relations with neighboring communities, pays close attention to the impact of its procurement activities on local communities and stakeholders, establishes regular communication and coordination mechanisms, and properly handles various demands.

(2) We encourage suppliers to participate in community welfare, environmental awareness, employment support, and other activities, so as to support community development.

## Quantitative Targets

| Category                   | Quantitative Target                                                                                                                                               | Responsible Department                                                   |
|----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| Green Operations           | By 2030, the Scope 3 carbon emission intensity of core components of wind power generator units will decline by 8% compared with 2025                             | Supply Chain Center of Wind Power Business Group                         |
| Green Operations           | From 2026, 100% of packaging materials will be recycled and reused, ensuring the sustainable use of raw materials and products                                    | Group Safety, Environmental Protection and Quality Management Department |
| Sustainable Industry Chain | By 2030, the social responsibility management of the Group will cover 100% of its major suppliers                                                                 | Group Supply Chain Center                                                |
| Sustainable Industry Chain | From 2023, the social responsibility audit rate for major Tier 1 component suppliers of wind turbines has reached 100%. By 2030, social responsibility management | Supply Chain Center of Wind Power Business Group                         |

|                            |                                                                                                                     |                                                                                         |
|----------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
|                            | coverage for major Tier 2 component suppliers will reach 100%                                                       |                                                                                         |
| Sustainable Industry Chain | From 2026, the due diligence audit rate for major suppliers of components involving cobalt, lithium will reach 100% | Quality and Safety<br>Environmental Management<br>Department of Goldwind Carbon Neutral |

## Supervision and Remediation Measures

### 15. Supply Chain Sustainability Management Mechanism

Goldwind has established a risk-based supply chain sustainability management system covering supplier full life cycle management, spanning registration, admission, risk identification and tiered management, performance evaluation, rewards and penalties, and exit. The Company allocates sufficient internal resources and clearly defines roles and responsibilities to ensure the effective operation of this Policy.

### 16. Supply Chain Compliance Policies

Goldwind has issued documents such as the Goldwind Supplier Code of Conduct and the Supplier Sustainable Development Commitment Letter conveying to suppliers the compliance requirements with respect to labor and human rights, health and safety, environmental responsibility, business ethics, and management systems. All suppliers are required to sign and comply with the above documents and to take effective measures to pass on equivalent compliance requirements to their upstream supply chains, ensuring that sustainable procurement requirements extend throughout multi-tier supply chains.

### 17. Risk Identification and Tiered Management

(1) Goldwind gives priority to establishing or maintaining business relationships with suppliers with outstanding ESG compliance and due diligence performance, and prioritizes supply chain risks through risk screening and other tools. The risk screening dimensions include components (critical high-risk materials), production locations, suppliers' ESG due

diligence performance, supplier criticality, share of procurement spend, and years of cooperation.

(2) Complete assessments and audits, including supplier self-assessment questionnaires and on-site audits, are carried out for high- and medium-risk suppliers, covering compliance topics such as labor and human rights, occupational health and safety, environment, and management systems; low-risk suppliers are assessed in phases, so that while high-risk suppliers receive priority attention, a complete supplier profile is gradually established.

18. Audit Findings and Corrective Action Plans

During audits, if zero-tolerance issues are found, Goldwind will terminate the audit process: new suppliers will have their development and certification procedures terminated at the same time, and qualified suppliers will be blacklisted with the cooperation relationship terminated. If critical issues are found, new suppliers must complete rectification before the end of the development and certification process, while qualified suppliers must complete rectification within the specified time limit, verified and closed by Goldwind or a third-party assessment agency. If general issues are found, suppliers may complete rectification according to their own circumstances. In addition, communication with affected stakeholders is maintained to monitor the improvement of adverse impacts.

19. Responsible Exit

Goldwind is committed to continuously improving human rights and environmental impacts in its supply chain, giving priority to cooperation and improvement measures, with exit from a business relationship as a last resort. Where a supplier has major compliance issues, Goldwind may suspend or terminate the business relationship. In line with the principle of responsible exit, Goldwind assesses, before making an exit decision, whether the exit may cause or contribute to adverse human rights or environmental impacts, and where necessary takes mitigation measures and makes proper transition arrangements, so as to minimize adverse impacts on the workers and communities concerned.

20. Support for Small and Medium-sized Enterprises

Goldwind understands the necessity of supporting small and medium-sized enterprises (SMEs) in meeting the compliance requirements of this Policy and the Goldwind Supplier Code of Conduct, and will systematically support SME suppliers in continuously improving their capabilities in social responsibility, environmental protection, green and low-carbon operations, and conflict minerals management through supplier conferences, thematic training, dedicated ESG briefings, best practice sharing, and other means.

21. Stakeholder Communication and External Reporting

Goldwind maintains ongoing communication with stakeholders such as suppliers, customers, investors, and social organizations to understand their concerns and suggestions regarding sustainable procurement management, and continuously improves its management and refines this Policy. Through the Sustainability Report, the Company's official website, and other channels, we regularly and publicly report on the progress made and the work carried out within the scope of this Policy.

## **Grievance Mechanism and Reporting Channels**

22. The Group Supply Chain Center is responsible for the implementation and supervision of this Policy, and regularly evaluates and reviews the effectiveness of its implementation to ensure that all measures are effectively implemented; employees and suppliers in violation of this Policy will be handled in accordance with the Group Rewards and Penalties Management Rules, the Group Anti-Fraud Management Rules, and the relevant contractual provisions.

23. Goldwind has established transparent reporting channels and welcomes supervision and feedback from employees, suppliers and their workers, and stakeholders; it is connected to third-party grievance platforms such as the Integrity Line, ensuring that suppliers, partners, and external stakeholders can submit grievances through multilingual channels.

On-site reporting boxes

Tel: 86-010-67511888-1127

E-mail: [Audit@goldwind.com.cn](mailto:Audit@goldwind.com.cn)

We solemnly undertake to treat every report with great attention and to respond in a timely manner, ensuring that issues are properly handled. At the same time, we will strictly protect the personal information of reporters and ensure that their rights and interests are not infringed; where retaliation against a reporter occurs, once verified, it will be dealt with severely in accordance with laws and regulations.

## **Review and Revision**

24. Goldwind reviews this Policy every year to verify its suitability and effectiveness.
25. Goldwind comprehensively revises this Policy every three years to ensure that it remains consistent with evolving laws, regulations, and standards; when laws, regulations, and standards change significantly, the Policy may be revised ahead of schedule to promptly adapt to the new requirements.

Goldwind Science & Technology Co., Ltd.

September 2026